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PTO/SB/21 (12-97)
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 13

| Application Number     | 08/983,332    |  |  |
|------------------------|---------------|--|--|
| Filing Date            | MARCH 5, 1998 |  |  |
| First Named Inventor   | CLOUD         |  |  |
| Group Art Unit         | 3744          |  |  |
| Examiner Name          | DOERRLER, W.  |  |  |
| Attorney Docket Number | ZK546/99002   |  |  |

| ENCLOSURES (check all that apply)  |                                  |       |                              |  |       |   |
|--|----------------------------------|-------|------------------------------|--|-------|---|
| X Fee Transm   | nittal Form                      |       |                              | ent Papers<br>I <i>pplication)</i>           |       | After Allowance Communication to Group                            |
| X Fee  | Attached                         |       | Drawing                      | (s)  |       | Appeal Communication to Board of Appeals and Interferences        |
| X Amendmer   | nt / Response                    |       | Licensin                     | g-related Papers                             |       | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| Afte   | er Final                         |       | Petition and Acc             | Routing Slip (PTO/SB/6<br>ompanying Petition | 9)    | Proprietary Information   |
| Affic  | davits/declaration(s)            |       | To Conv<br>Provision         | ert a<br>nal Application                     |       | Status Letter   |
| X Extension  | of Time Request                  |       | Power o<br>Change<br>Address | f Attorney, Revocation of Correspondence     |       | Additional Enclosure(s) (please identify below):                  |
| Express Ab   | andonment Request                |       | Termina                      | l Disclaimer                                 |       | RETURN RECEIPT POSTCARD   |
| Information  | Disclosure Statement             |       |                              | ntity Statement                              |       |   |
| Continue C   | opy of Priority                  |       | Reques                       | t for Refund                                 |       |   |
| Document(  |                                  | Rem   | arks                         |  |       |   |
|  | to Missing Parts/<br>Application |       |                              | 1  |       |   |
|  | ponse to Missing                 |       |                              |  |       |   |
|  | s under 37 CFR<br>! or 1.53      |       |                              |  |       |   |
|  | SIGNATU                          | RE OF | APPLIC                       | CANT, ATTORNEY,                              | OR AG | ENT   |
| Firm<br>or   | DANIEL C.<br>MIDDLETON           |       | LTER<br>EUTLI                | NGER   |       | ia:   |
| Individual name III DELLI GIV & RESTERIORE   |                                  |       |                              |  |       |   |
| Signature  | DUTT                             |       | -                            |  |       |   |
| Date   | 3/18/99                          |       |                              |  |       |   |
| CERTIFICATE OF MAILING   |                                  |       |                              |  |       |   |
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| Complete if Known    |               |      |
| Application Number   | 08/983,332    |      |
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| First Named Inventor | CLOUD         |      |
| Examiner Name        | DOERRLER, W.  |      |
| Group / Art Unit     | 3744          |      |
| Attorney Docket No.  | ZK546/99002   |      |

| METHOD OF PAYMENT (check one)  | FEE CALCULATION (continued)  |              |  |  |
|--|--|--------------|--|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit | 3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)                 | Fee Paid     |  |  |
| Account  | 105 130 205 65 Surcharge - late filing fee or oath   |              |  |  |
| Number<br>Deposit<br>Account<br>Name   | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet.                            |              |  |  |
| Charge Any Additional  | 139 130 139 130 Non-English specification  |              |  |  |
| Fee Required Under<br>37 CFR 1.16 and 1.17   | 147 2,520 147 2,520 For filing a request for reexamination                                       |              |  |  |
|  | 112 920° 112 920° Requesting publication of SIR prior to Examiner action                         |              |  |  |
| 2. A Payment Enclosed:  Check Order Other  | 113 1,840° 113 1,840° Requesting publication of SIR after Examiner action                        |              |  |  |
|  | 115 110 215 55 Extension for reply within first month  | 55.          |  |  |
| FEE CALCULATION  | 116 380 216 190 Extension for reply within second month  |              |  |  |
| 1. BASIC FILING FEE  | 117 870 217 435 Extension for reply within third month   |              |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)                            | 118 1,360 218 680 Extension for reply within fourth month  |              |  |  |
| Code (\$) Code (\$) Fee Paid  101 760 201 380 Utility filing fee   | 128 1,850 228 925 Extension for reply within fifth month   |              |  |  |
| 106 310 206 155 Design filling fee   | 119 300 219 150 Notice of Appeal   |              |  |  |
| 107 480 207 240 Plant filing fee   | 120 300 220 150 Filing a brief in support of an appeal   |              |  |  |
| 108 760 208 380 Reissue filing fee   | 121 260 221 130 Request for oral hearing   |              |  |  |
| 114 150 214 75 Provisional filing fee  | 138 1,510 138 1,510 Petition to institute a public use proceeding                                |              |  |  |
| 714 100 214 70 110 tibiotia tilling to   | 140 110 240 55 Petition to revive - unavoidable  |              |  |  |
| SUBTOTAL (1) (\$) O  | 141 1,210 241 605 Petition to revive - unintentional   |              |  |  |
| 2. EXTRA CLAIM FEES  | 142 1,210 242 605 Utility issue fee (or reissue)   |              |  |  |
| Fee fromExt <u>ra Claims below</u> Fee Paid  | 143 430 243 215 Design issue fee   |              |  |  |
| Total Claims20** = X =   | 144 580 244 290 Plant issue fee  |              |  |  |
| Independent - 3** = X = X  | 122 130 122 130 Petitions to the Commissioner  |              |  |  |
| Multiple Dependent =   | 123 50 123 50 Petitions related to provisional applications                                      |              |  |  |
| **or number previously paid, if greater; For Reissues, see below   | 126 240 126 240 Submission of Information Disclosure Stmt  | <del> </del> |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Description  | 581 40 581 40 Recording each patent assignment per   |              |  |  |
| Code (\$) Code (\$)  | property (times number of properties)  146 760 246 380 Filipp a submission after final rejection |              |  |  |
| 103 18 203 9 Claims in excess of 20<br>102 78 202 39 Independent claims in excess of 3                   | Filing a submission after final rejection (37 CFR 1.129(a))                                      |              |  |  |
| 102 78 202 39 Independent claims in excess of 3<br>104 260 204 130 Multiple dependent claim, if not paid | 149 760 249 380 For each additional invention to be examined (37 CFR 1.129(b))                   |              |  |  |
| 109 78 209 39 ** Reissue independent claims  |  |              |  |  |
| over original patent   | Other fee (specify)  |              |  |  |
| 110 18 210 9 ** Reissue claims in excess of 20<br>and over original patent                               | Other fee (specify)  |              |  |  |
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| SUBMITTED BY Complete (if applicable)  |  |              |  |  |
| Typed or DANIEL C. STELTER   | Reg. Number 4  | 0,830        |  |  |

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|--------------------------|-------------------|--------------|----------------------------|-------------|
| Typed or<br>Printed Name | DANIEL C. STELTER |              | Reg. Number                | 40,830      |
| Signature                | DUCTIV            | Date 3/18/99 | Deposit Account<br>User ID |             |

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founded in 1854

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> OF COUNSEL HENRY MEIGS II J. PAUL KEITH III

INDIANA OFFICE 530 EAST COURT AVENUE JEFFERSONVILLE, INDIANA 47130 812.282.1132

March 18, 1999

\*ALSO ADMITTED INDIANA
\*\*LICENSED TO PRACTICE BEFORE
U.S. PATENT & TRADEMARK OFFICE

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IAN Y, HENDERSON

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Signature of Person Mailing Correspondence

Sandra K. Dean Typed Name

Re: Patent Application Serial No. 08/983,332, filed December 4, 1997, entitled

"Circuit Apparatus and Configurations for Refrigerator Systems"

Dear Sir:

Enclosed please find for filing the following documents relating to the above-referenced application Cloud:

- 1. Transmittal After Filing, Form PTO/SB/21;
- 2. Fee Transmittal, Form PTO/SB/17;
- 3. a Petition for One-Month Extension of Time, Form PTO/SB/22, with this firm's check no. 38561, dated March 11, 1999, in the amount of \$55 for payment of the fee therefor;
- 4. Response to Office Action under 37 C.F.R. 1.111 (with Amendment "A" under 37 C.F.R. 1.121); and,
- 5. a return-receipt postcard acknowledging receipt of the above items.

Should you have any questions regarding the above or the enclosed, please do not hesitate to contact me. Until then, I remain

Very truly yours,

MIDDLETON & REUTLINGER

DCS/skd Enclosures

cc: Ms. Terri Cloud (w/Enclosures)

Scot A. Duvall, Esq. (w/o Enclosures)

Daniel C. Stelter Attorney for Applicant

Registration No. 40,830